

Lagrangian multiforms and conservation laws

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Joint work with Evgeny Ferapontov
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Lagrangian multiforms: variational principle for a system with its symmetries

Lagrangian 1-forms \rightarrow systems of equations in 1 independent variable each

Discrete: integrable maps

Continuous: integrable ODEs / Poisson-commuting Hamiltonian systems

[Yoo-Kong, Lobb, Nijhoff, 2011] [Suris 2013]

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Lagrangian 2-forms → systems of equations in 2 independent variables each

Discrete: partial difference equations (ABS list)

[Lobb, Nijhoff, 2009] [Bobenko, Suris, 2010]

Semi-discrete: eg Toda lattice

[Sleigh, Vermeeren, 2022]

Continuous: hierarchies of (1+1)-dimensional PDEs (eg KdV)

[Suris 2016] [Suris, Vermeeren 2016]

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Lagrangian 3-forms $\rightarrow \dots$

This picture is too rigid!

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- 1 Lagrangian multiforms for integrable PDEs
- 2 Multiforms from variational symmetries
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- 4 Conclusions

Variational principle for 2-forms

Pluri-Lagrangian principle

Given a 2-form

$$\mathcal{L}[u] = \sum_{i,j} L_{ij}[u] dt_i \wedge dt_j,$$

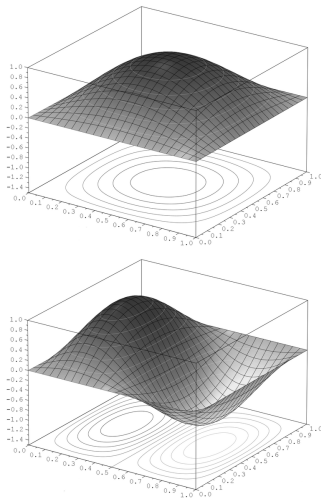
find a field $u : \mathbb{R}^N \rightarrow \mathbb{R} : (t_1, \dots, t_N) \mapsto u(t_1, \dots, t_N)$,
such that

$$\int_{\Gamma} \mathcal{L}[u]$$

is **critical on all smooth surfaces** Γ in multi-time \mathbb{R}^N ,
w.r.t. **variations of u** .

Lagrangian multiform principle

Additionally require that $d\mathcal{L}[u] = 0$ on solutions:
action is critical w.r.t. deformations of the surface.

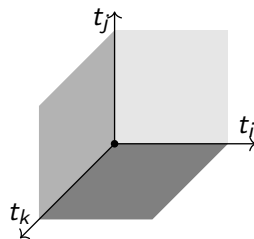
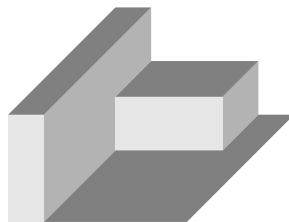


Multiform EL equations

A smooth surface can be approximated arbitrarily well by **stepped surfaces**.

It is sufficient to require criticality on stepped surfaces.

Variations can be taken locally, so it is sufficient to consider elementary corners.



Multiform EL equations for $\mathcal{L}[u] = \sum_{i,j} L_{ij}[u] dt_i \wedge dt_j$,

If L_{ij} depends on derivatives wrt t_i, t_j up to second order

$$(1) \quad \frac{\delta_{ij} L_{ij}}{\delta u} = 0,$$

$$(2) \quad \frac{\delta_{ij} L_{ij}}{\delta u_{t_j}} - \frac{\delta_{ik} L_{ik}}{\delta u_{t_k}} = 0,$$

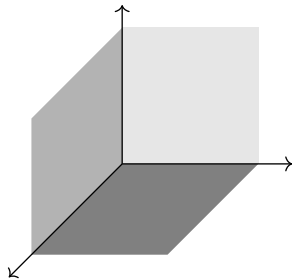
$$(3) \quad \frac{\delta_{ij} L_{ij}}{\delta u_{t_i t_j}} + \frac{\delta_{jk} L_{jk}}{\delta u_{t_j t_k}} + \frac{\delta_{ki} L_{ki}}{\delta u_{t_k t_i}} = 0,$$

where

$$\frac{\delta_{ij}}{\delta u} = \frac{\partial}{\partial u} - \frac{d}{dt_i} \frac{\partial}{\partial u_{t_i}} - \frac{d}{dt_j} \frac{\partial}{\partial u_{t_j}} + \frac{d}{dt_i} \frac{d}{dt_j} \frac{\partial}{\partial u_{t_i t_j}}$$

$$\frac{\delta_{ij}}{\delta u_{t_j}} = \frac{\partial}{\partial u_{t_j}} - \frac{d}{dt_i} \frac{\partial}{\partial u_{t_i t_j}}$$

(No summation over repeated indices)



Multiform EL equations for $\mathcal{L}[u] = \sum_{i,j} L_{ij}[u] dt_i \wedge dt_j$,

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$$\frac{\delta_{ij}}{\delta u_{t_j}} = \frac{\partial}{\partial u_{t_j}} - \frac{d}{dt_i} \frac{\partial}{\partial u_{t_i t_j}}$$

If L_{ij} depends on u and derivatives of any order and direction.

$$\frac{\delta_{ij} L_{ij}}{\delta u_I} = 0 \quad \forall I \not\ni t_i, t_j,$$

$$\frac{\delta_{ij} L_{ij}}{\delta u_{I t_j}} = \frac{\delta_{ik} L_{ik}}{\delta u_{I t_k}} \quad \forall I \not\ni t_i,$$

$$\frac{\delta_{ij} L_{ij}}{\delta u_{I t_i t_j}} + \frac{\delta_{jk} L_{jk}}{\delta u_{I t_j t_k}} + \frac{\delta_{ki} L_{ki}}{\delta u_{I t_k t_i}} = 0 \quad \forall I,$$

where I denotes a multi-index (a combination of derivatives) and

$$\frac{\delta_{ij} L_{ij}}{\delta u_I} = \sum_{\alpha=0}^{\infty} \sum_{\beta=0}^{\infty} (-1)^{\alpha+\beta} \frac{d^{\alpha}}{dt_i^{\alpha}} \frac{d^{\beta}}{dt_j^{\beta}} \frac{\partial L_{ij}}{\partial u_{I t_i^{\alpha} t_j^{\beta}}}$$

Exterior derivative

If the surface of integration is the boundary of a volume, $\Gamma = \partial B$, then

$$\int_{\Gamma} \mathcal{L} = \int_B d\mathcal{L}.$$

So a necessary (and in fact sufficient) condition for criticality is that infinitesimal variations of $d\mathcal{L}$ vanish:

$$\delta d\mathcal{L} = 0.$$

More precisely:

$$\frac{\partial}{\partial u_I} d\mathcal{L} = 0 \quad \forall I. \quad (*)$$

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More precisely:

$$\frac{\partial}{\partial u_l} d\mathcal{L} = 0 \quad \forall l. \quad (*)$$

Double-zero property

If the coefficients of $d\mathcal{L}$ factorise,

$$d\mathcal{L} = \sum_{i < j < k} A_{ijk} B_{ijk} dt^i \wedge dt^j \wedge dt^k,$$

then on solutions of the system

$$A_{ijk} = 0, \quad B_{ijk} = 0,$$

condition $(*)$ is satisfied.

Hence the system $A_{ijk} = 0, B_{ijk} = 0$ implies the multiform Euler-Lagrange equations

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Variational symmetries \rightarrow Lagrangian multiforms

Consider a Lagrangian L_{12} with variational symmetry $u_{t_3} = Q[u]$.

This means that $\int L_{12} dt_1 \wedge dt_2$ is invariant:

$$\int \underbrace{D_{L_{12}}(Q)}_{\text{Fréchet derivative}} dt_1 \wedge dt_2 = \int \frac{\delta L_{12}}{\delta u} Q dt_1 \wedge dt_2 = 0 \quad \Leftrightarrow \quad \frac{\delta L_{12}}{\delta u} Q = \frac{dM}{dt_2} + \frac{dN}{dt_1}$$

[D Sleigh, F Nijhoff, V Caudrelier. Letters in Mathematical Physics. 2020]

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Combine this with

$$\int \frac{dL_{12}}{dt_3} dt_1 \wedge dt_2 = \int \frac{\delta L_{12}}{\delta u} u_{t_3} dt_1 \wedge dt_2 \quad \Leftrightarrow \quad \frac{dL_{12}}{dt_3} = \frac{\delta L_{12}}{\delta u} u_{t_3} + \frac{d\hat{M}}{dt_2} + \frac{d\hat{N}}{dt_1}$$

$$\text{to get} \quad \frac{dL_{23}}{dt_1} - \frac{dL_{13}}{dt_2} + \frac{dL_{12}}{dt_3} = \underbrace{\frac{\delta L_{12}}{\delta u}}_A \underbrace{(u_{t_3} - Q)}_B$$

So the system $A = 0$, $B = 0$ implies the multi-form Euler-Lagrange equations for $\mathcal{L} = L_{12} dt_1 \wedge dt_2 + L_{13} dt_1 \wedge dt_3 + L_{23} dt_2 \wedge dt_3$.

[D Sleigh, F Nijhoff, V Caudrelier. Letters in Mathematical Physics. 2020]

Example: potential KdV hierarchy – weak multiform

The potential KdV equation $u_2 = u_{111} + 3u_1^2$ has a (weak) Lagrangian

$$(u_k = u_{t_k})$$

$$L_{12} = \frac{1}{2}u_1u_2 - \frac{1}{2}u_1u_{111} - u_1^3$$

and a hierarchy of variational symmetries, starting with

$$u_3 = Q[u] := u_{11111} + 10u_1u_{111} + 5u_{11}^2 + 10u_1^3.$$

This yields L_{13} and L_{23} such that

$$\frac{dL_{23}}{dt_1} - \frac{dL_{13}}{dt_2} + \frac{dL_{12}}{dt_3} = \frac{\delta L_{12}}{\delta u}(u_3 - Q)$$

Double zero property: multi-time EL equations follow from

$$\begin{aligned} \frac{\delta L_{12}}{\delta u} = 0 &\Leftrightarrow u_{12} = \frac{d}{dt_1}(u_{111} + 3u_1^2), \\ u_3 &= u_{11111} + 10u_1u_{111} + 5u_{11}^2 + 10u_1^3. \end{aligned}$$

Example: potential KdV hierarchy – weak multiform

$$L_{12} = \frac{1}{2}u_1u_2 - \frac{1}{2}u_1u_{111} - u_1^3$$

$$L_{13} = \frac{1}{2}u_1u_3 - \frac{5}{2}u_1^4 - 5u_1u_{11}^2 - 5u_1^2u_{111} - \frac{1}{2}u_{111}^2$$

$$L_{23} = -12u_1^5 - 15u_1^2u_{11}^2 - 10u_1^3u_{111} + u_{11}^2u_{111} - 2u_1u_{111}^2 - u_1u_{11}u_{1111} - 5u_1^2u_{112} + 3u_1^2u_3 \\ - \frac{1}{2}u_{1111}^2 - u_{111}u_{112} + \frac{1}{2}u_1u_{113} + u_{1111}u_{12} - \frac{1}{2}u_{11}u_{13} + u_{111}u_3 - \frac{1}{2}u_2u_3$$

Check that the factors of $d\mathcal{L}$ occur as multiform Euler-Lagrange equations:

The equations $\frac{\delta_{12}L_{12}}{\delta u} = 0$ and $\frac{\delta_{13}L_{13}}{\delta u} = 0$ yield

$$u_{12} = \frac{d}{dt_1} (u_{111} + 3u_1^2) \quad \text{and} \quad u_{13} = \frac{d}{dt_1} (u_{11111} + 10u_1u_{111} + 5u_{11}^2 + 10u_1^3).$$

Equation $\frac{\delta_{13}L_{13}}{\delta u_1} = \frac{\delta_{23}L_{23}}{\delta u_2}$ yields $u_{t_3} = u_{11111} + 10u_1u_{111} + 5u_{11}^2 + 10u_1^3$.

The equations $\frac{\delta_{12}L_{12}}{\delta u_2} = \frac{\delta_{13}L_{13}}{\delta u_3}$ and $\frac{\delta_{12}L_{12}}{\delta u_1} = \frac{\delta_{32}L_{32}}{\delta u_3}$ are trivial

Example: potential KdV hierarchy – better multiform

Previous multiform satisfies $\frac{dL_{23}}{dt_1} - \frac{dL_{13}}{dt_2} + \frac{dL_{12}}{dt_3} = \frac{\delta L_{12}}{\delta u}(u_3 - Q)$. Up to divergence:

$$\begin{aligned}\frac{\delta L_{12}}{\delta u} \cdot (u_3 - Q) &= \frac{d}{dt_1}(-u_2 + u_{111} + 3u_1^2) \cdot (u_3 - Q) \\ &\sim \frac{1}{2} \frac{d}{dt_1}(-u_2 + u_{111} + 3u_1^2) \cdot (u_3 - Q) - \frac{1}{2}(-u_2 + u_{111} + 3u_1^2) \cdot \frac{d}{dt_1}(u_3 - Q)\end{aligned}$$

Replace L_{23} with $\tilde{L}_{23} = L_{23} - \frac{1}{2}(-u_2 + u_{111} + 3u_1^2)_1(u_3 - Q)$, then

$$\frac{d\tilde{L}_{23}}{dt_1} - \frac{dL_{13}}{dt_2} + \frac{dL_{12}}{dt_3} = \frac{1}{2} \frac{d}{dt_1}(-u_2 + u_{111} + 3u_1^2) \cdot (u_3 - Q) - \frac{1}{2}(-u_2 + u_{111} + 3u_1^2) \cdot \frac{d}{dt_1}(u_3 - Q)$$

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Double-zero property

If $d\mathcal{L} = \sum_{i < j < k} (A_{ijk}^1 B_{ijk}^1 + \dots + A_{ijk}^n B_{ijk}^n) dt^i \wedge dt^j \wedge dt^k$,

then the system $A_{ijk}^\ell = 0, B_{ijk}^\ell = 0$ implies the multiform Euler-Lagrange equations

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From conservation law to multiform

So far:

Lagrangian L , variational symmetry



Lagrangian multiform \mathcal{L}



Conservation law (Noether): $d\mathcal{L} = AB$ where $A = \frac{\delta L}{\delta u}$

What if we don't have a Lagrangian to start with?

Start from a conservation law for an equation $A = 0$, with characteristic B ,

$$AB = \text{divergence},$$

without imposing that A is a variational derivative.

Mikhalev equation

$$u_{33} - u_{12} + u_3 u_{11} - u_1 u_{13} = 0$$

possesses four first-order and seven second-order conservation laws,

$$\mathcal{L} = \underbrace{L_{23}}_F dx^2 \wedge dx^3 + \underbrace{L_{31}}_G dx^3 \wedge dx^1 + \underbrace{L_{12}}_H dx^1 \wedge dx^2.$$

[VG Mikhalev. Functional Analysis and Its Applications, 1992]

[H Baran, IS Krasil'Shchik, OI Morozov, P Vojčák. Journal of Physics: Conference Series, 2014]

For any such conservation law, $d\mathcal{L} = (F_1 + G_2 + H_3) dx^1 \wedge dx^2 \wedge dx^3$ factorises as

$$F_1 + G_2 + H_3 = (u_{33} - u_{12} + u_3 u_{11} - u_1 u_{13}) \cdot B[u],$$

where the characteristic B is a differential expression in u such that the system

$$u_{33} - u_{12} + u_3 u_{11} - u_1 u_{13} = 0, \quad B[u] = 0$$

is involutive (i.e. compatible, i.e. multidimensionally consistent).

Thus, these conservation laws \mathcal{L} are Lagrangian multiforms.

Mikhalev equation: Lagrangian 2-form for 3d PDE and ODE

Take, for example,

$$F = u_3 u_{11}^2 - u_{13}^2 - 2u_{11}(u_{33} - u_{12} + u_3 u_{11} - u_1 u_{13}),$$

$$G = -u_{11}^2,$$

$$H = 2u_{11}u_{13} - u_1 u_{11}^2.$$

Due to the factorisation

$$F_1 + G_2 + H_3 = -2(u_{33} - u_{12} + u_3 u_{11} - u_1 u_{13}) u_{111}$$

the multiform Euler-Lagrange equations are consequences of the system

$$u_{33} - u_{12} + u_3 u_{11} - u_1 u_{13} = 0, \quad u_{111} = 0.$$

The critical points are joint solutions of Mikhalev equation and the ODE $u_{111} = 0$.

Mikhalev equation: Lagrangian 2-form for 3d PDE and 2d PDE

This example can be deformed by adding to \mathcal{L} a first-order conservation law of the Mikhalev equation:

$$\tilde{F} = u_3 u_{11}^2 - u_{13}^2 - 2u_{11}(u_{33} - u_{12} + u_3 u_{11} - u_1 u_{13}) + 2u_1 u_3^2 - u_1^3 u_3 - u_2 u_3,$$

$$\tilde{G} = -u_{11}^2 + u_1^3 - u_1 u_3,$$

$$\tilde{H} = 2u_{11} u_{13} - u_1 u_{11}^2 + u_1^4 - 3u_1^2 u_3 + u_1 u_2 + u_3^2,$$

with the factorisation

$$\tilde{F}_1 + \tilde{G}_2 + \tilde{H}_3 = 2(u_{33} - u_{12} + u_3 u_{11} - u_1 u_{13}) \left(u_3 - u_{111} - \frac{3}{2} u_1^2 \right).$$

The multiform Euler-Lagrange equations are equivalent to the system

$$u_{33} - u_{12} + u_3 u_{11} - u_1 u_{13} = 0, \quad u_3 - u_{111} - \frac{3}{2} u_1^2 = 0,$$

so critical points are joint solutions of Mikhalev equation and potential KdV.

Mikhalev equation: Lagrangian 2-form for 3d PDE and 2d PDE

$$F = 2u_1^3 u_{11} u_{13} - u_1^2 u_{11}^2 u_3 + 2u_1^2 u_{11} u_{12} - 3u_1^2 u_{13}^2 - 2u_1^2 u_{11} u_{33} - 2u_1 u_{12} u_{13} + u_{13}^2 u_3 \\ + 4u_1 u_{13} u_{33} - u_{33}^2,$$

$$G = -(u_1 u_{11} - u_{13})^2,$$

$$H = -u_1^3 u_{11}^2 + 2u_1^2 u_{11} u_{13} + 2u_1 u_{11}^2 u_3 - 2u_1 u_{11} u_{12} - u_1 u_{13}^2 - 2u_{11} u_{13} u_3 + 2u_{12} u_{13}.$$

satisfies

$$F_1 + G_2 + H_3 = -2(u_{33} - u_{12} + u_3 u_{11} - u_1 u_{13})(u_1 u_{11}^2 + u_1^2 u_{111} - 2u_1 u_{113} - u_{11} u_{13} + u_{133}),$$

so \mathcal{L} is a Lagrangian multiform for the involutive system

$$u_{33} - u_{12} + u_3 u_{11} - u_1 u_{13} = 0, \quad u_1 u_{11}^2 + u_1^2 u_{111} - 2u_1 u_{113} - u_{11} u_{13} + u_{133} = 0.$$

The second equation is equivalent to the Gurevich-Zybin equation for $v = u_1$,

$$(\partial_3 - v \partial_1)^2 v = 0.$$

Veronese Web hierarchy: Lagrangian 2-form for system of 3d PDEs

Consider

$$\mathcal{L} = \sum_{i < j} (c^i - c^j) \frac{u_{ij}^2}{u_i u_j} dx^i \wedge dx^j,$$

where $c^i = \text{const.}$

Degeneration of generating KdV multiform from [Lobb, Nijhoff 2009]

We have $d\mathcal{L} = \sum_{i < j < k} A_{ijk} B_{ijk} dx^i \wedge dx^j \wedge dx^k$ with

$$A_{ijk} = (c^i - c^j) \frac{u_{ij}}{u_i u_j} + (c^j - c^k) \frac{u_{jk}}{u_j u_k} + (c^k - c^i) \frac{u_{ik}}{u_i u_k},$$

$$B_{ijk} = u_{ijk} - \frac{1}{2} \left(\frac{u_{ij} u_{ik}}{u_i} + \frac{u_{ij} u_{jk}}{u_j} + \frac{u_{ik} u_{jk}}{u_{ik}} \right),$$

- Equations $A_{ijk} = 0$ form Veronese web hierarchy.

see eg [M Dunajski, W Kryński, 2014]

- Equations $B_{ijk} = 0$ characterise potential (Egorov) metrics $\sum_i u_i (dx^i)^2$ with diagonal curvature, i.e. all $R_{kkj}^i = 0$ for distinct i, j, k . see eg [VE Zakharov, 1998]

Veronese Web hierarchy: Lagrangian 2-form for system of 2d and 3d PDEs

The multiform Euler-Lagrange equations are

$$(1) \quad \left(\frac{u_{ij}^2}{u_i^2 u_j} \right)_i + \left(\frac{u_{ij}^2}{u_i u_j^2} \right)_j + \left(\frac{2u_{ij}}{u_i u_j} \right)_{ij} = 0,$$

$$(2) \quad (c^j - c^i) \left(\frac{u_{ij}^2}{u_i u_j^2} + 2 \frac{u_{ii} u_{ij}}{u_i^2 u_j} - 2 \frac{u_{ijj}}{u_i u_j} \right) - (c^k - c^i) \left(\frac{u_{ik}^2}{u_i u_k^2} + 2 \frac{u_{ii} u_{ik}}{u_i^2 u_k} - 2 \frac{u_{iik}}{u_i u_k} \right) = 0,$$

$$(3) \quad (c^i - c^j) \frac{u_{ij}}{u_i u_j} + (c^j - c^k) \frac{u_{jk}}{u_j u_k} + (c^k - c^i) \frac{u_{ik}}{u_i u_k} = 0.$$

This system is equivalent to the equations $A_{ijk} = 0$, $B_{ijk} = 0$, with

$$A_{ijk} = (c^i - c^j) \frac{u_{ij}}{u_i u_j} + (c^j - c^k) \frac{u_{jk}}{u_j u_k} + (c^k - c^i) \frac{u_{ik}}{u_i u_k},$$

$$B_{ijk} = u_{ijk} - \frac{1}{2} \left(\frac{u_{ij} u_{ik}}{u_i} + \frac{u_{ij} u_{jk}}{u_j} + \frac{u_{ik} u_{jk}}{u_i u_k} \right),$$

Recipe

Take an integrable PDE

$$A = 0$$

Consider a conservation law F, G, H such that

$$F_1 + G_2 + H_3 = A \cdot B.$$

Then the combined system

$$A = 0, \quad B = 0$$

seems to be

- ▶ in involution (i.e. consistent/commuting)
- ▶ variational – it characterises stationary points of the Lagrangian multiform principle.

Under what conditions does this hold?

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Conclusions (or lack thereof)

Also in the pre-print (arXiv:2503.22615)

- ▶ Gibbons-Tsarev type equations for hydrodynamic reductions of second heavenly equation. (Similar ideas, several dependent variables).
- ▶ Non-translation-invariant version of VWE.

Key ideas

- ▶ Lagrangian 2-forms can describe 3d PDEs.
- ▶ The closure property of Lagrangian multiforms naturally relates to conservation laws.
- ▶ This seems to be the case even if a priori there is no Lagrangian structure.

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- ▶ The closure property of Lagrangian multiforms naturally relates to conservation laws.
- ▶ This seems to be the case even if a priori there is no Lagrangian structure.

Thank you for your attention!